



Receipt \$

Patent US 104C2  
Attorney Docket: 612,404-352  
(Former L&L Ref: 255/040)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

**Michael J. Heller, et al.**

**Serial No.:** 09/597,866

**Filed:** June 20, 2000

**For: APPARATUS AND METHODS  
FOR ACTIVE PROGRAMMABLE  
MATRIX DEVICES**

**Group Art Unit: 1634**

**Examiner:** B.J. Forman

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants herein request a corrected filing receipt for the above-identified patent application. Enclosed is a copy of the Official Filing Receipt mailed March 5, 2002 with the requested correction highlighted. Applicants respectfully ask that the following correction be made and a new Official Filing Receipt be issued:

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450.

December 11, 2003  
Date of Deposit

Denise N. Doss

IR1:1050547.1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/597,866	06/20/2000	1634	1350	255/040	15	48	5

CONFIRMATION NO. 5697

CORRECTED FILING RECEIPT



\*OC000000007584900\*

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

Date Mailed: 03/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael James Heller, Encinitas, CA;  
James Patrick O'Connell, Del Mar, CA;  
Robert David Juncosa, Mission Viejo, CA;  
Ronald George Sosnowski, Coronado, CA;  
Thomas Ratcliffe Jackson, San Diego, CA;  
*Eugene Tu*

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/141,286 08/27/1998 PAT 6,245,508  
WHICH IS A CON OF 08/534,454 09/27/1995 PAT 5,849,486  
WHICH IS A CIP OF 08/304,657 09/09/1994 PAT 5,632,957  
WHICH IS A CIP OF 08/271,882 07/07/1994 PAT 6,017,696  
WHICH IS A CIP OF 08/146,504 11/01/1993 PAT 5,605,662

Foreign Applications

If Required, Foreign Filing License Granted 08/16/2000

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED  
MAR 11 2002  
U.S. PROSECUTION

Exhibit A

## Apparatus and methods for active programmable matrix devices

## Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**INVENTORS' NAMES**

Applicants respectfully request that the following inventors be deleted as Applicants on the filing receipt for the above-captioned application: James P. O'Connell, Robert D. Juncosa, Ronald G. Sosnowski, and Thomas R. Jackson.

In addition, Applicants respectfully request that the following inventor be added to the Applicants listed on the filing receipt for the above-captioned application: Eugene Tu.

**AUTHORIZATION TO CHARGE FEES:**

Applicants do not believe that any fees are due in connection with this request. However, if the Commissioner deems otherwise, authorization to charge Deposit Account No. 50-2862 is granted. We respectfully request the issuance of a corrected filing receipt.

Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: 12/11/03

By: David B. Murphy  
David B. Murphy  
Reg. No. 31,125

DBM/DKW/dnd

**34263**

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